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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/649,378

Filing Date

August 25, 2003

First Named Inventor

Alan M. Fogelman

Group Art Unit

1614

Examiner Name

Unassigned

Total Number of Pages in This Submission

Attorney Docket Number

407T-911270US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Response
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/
Incomplete Application
☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
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- ☐ After Allowance Communication
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for consideration of the documents enclosed.

Remarks

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Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group, P.C.

Signature

Date

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Nov. 11, 2003



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By


Amelia Weintraub

Attorney Docket No. 407T-911270US
Client Ref. No. 2000-462-6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alan M. Fogelman, et al.

Application No.: 10/649,378

Filed: August 25, 2003

For: ORALLY ADMINISTERED SMALL
PEPTIDES SYNERGIZE STATIN
ACTIVITY

Examiner: Unassigned

Art Unit: 1614

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 10/187,215 filed June 27, 2002. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 10/187,215 filed June 27, 2002. are not provided. However the applicants will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tom Hunter', with a long horizontal flourish extending to the right.

Tom Hunter, J.D., Ph.D.
Reg. No. 38,498

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
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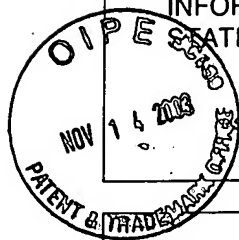
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)



Application Number	10/187,215 10/649,378
Filing Date	June 27, 2002 August 26, 2003
First Named Inventor	Alan M. Fogelman
Group Art Unit	1614
Examiner Name	Unassigned
Attorney Docket Number	407T-011220US 407T-911270US
Date Submitted	February 5, 2003 Nov. 11, 2003

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	01	3,767,040		Tushaus	10-23-1973	
	02	4,155,913		Hellerbach et al.	05-22-1979	
	03	4,643,988		Segrest et al.	02-17-1987	
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	06	5,814,467		Curtiss et al.	09-29-1998	
	07	5,854,238		Kempen	12-29-1998	
	08	6,037,323		Dasseux et al.	03-14-2000	
	09	6,086,918		Stern et al.	07-11-2000	

Examiner Initials		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	10	WO	97/36927	A1	Boffelli et al.	10-09-1997		
	11	WO	99/47566	A1	The UAB Research Foundation	09-23-1999		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	12	ANANTHARAMAIAH (1986) "Synthetic Peptide Analogs of Apolipoproteins." <i>Methods in Enzymology</i> 128:627-647	
	13	ANANTHARAMAIAH and GARBER (1996) "Chromatographic Methods for Quantitation of Apolipoprotein A-I." <i>Meth. Enzymol.</i> 263: 267-282	
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

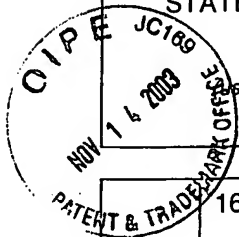
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First Named Inventor	Alan M. Fogelman
Group Art Unit	1614
Examiner Name	Unassigned
Attorney Docket Number	407T-911220US 407T-911270US
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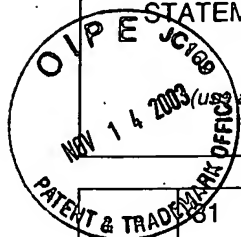


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Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	10/187,215 10/649,378
	Filing Date	June 27, 2002 August 25, 2003
	First Named Inventor	Alan M. Fog Iman
	Group Art Unit	1614
	Examiner Name	Unassigned
	Attorney Docket Number	407T-811220US 407T-911270US
	Date Submitted	November 11, 2003



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Filing Date	June 27, 2002 August 26, 2003
First Named Inventor	Alan M. F. gelman
Group Art Unit	1614
Examiner Name	Unassigned
Attorney Docket Number	4077-911220US 4077-911270US
Date Submitted	February 5, 2003 11/1/03

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Examiner
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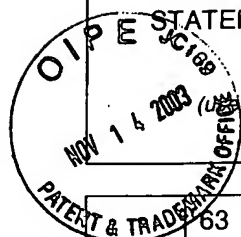
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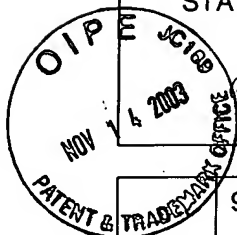
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/167,215 10/649,378
Filing Date	June 27, 2002 August 26, 2003
First Named Inventor	Alan M. F g lman
Group Art Unit	1614
Examiner Name	Unassigned
Attorney Docket Number	407T-9112200S 407T-911270 US
Date Submitted	6/27/02 11/11/03



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Examiner Signature		Date Considered	
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